



ECW Operational Model & Results Framework

Results Framework Annex B

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THE BOSTON CONSULTING GROUP

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Context for ECW Results Framework effort

This document provides an overview of the proposed ECW results framework, as well as the process and methodology used to develop it.

The overall objective was to align on a **robust foundational results framework** that can guide ECW's initial monitoring and evaluation activities. The results framework is intended to evolve over time, as ECW builds evidence and tests its Theory of Change.

The results framework was designed with **deep engagement from a Technical Working Group (TWG)**, comprised of 14 technical experts nominated by Task Team 2 and representing 12 organizations and agencies.

- The framework was **informed by a variety of inputs**, including the ODI report, comparative analysis of peer results frameworks, and expert and stakeholder interviews.
- In addition, TWG members provided **detailed technical input** between November – January, including two group consultations.
- Input was also solicited via ECW's two **design workshops** and strategic guidance was provided by **Task Team 2** and the ECW co-directors.

In addition to this overview, ongoing work is being performed to detail technical methodologies for each indicator, gather baseline data, and articulate M&E activities in different resource scenarios

Executive Summary: ECW Results Framework

As a first step in developing ECW's results framework, the Theory of Change (TOC) proposed by ODI was **refined to clarify linkages** between the ECW platform's five strategic functions, outputs, systemic outcomes, beneficiary outcomes, and impact.

A comprehensive set of indicators was developed to allow ECW to test the TOC over time, leveraging existing data and **sharing measurement effort** across the ECW Secretariat and grantees

- Results framework will be **flexible** to highly variable **grantee contexts**, recognizing that not all indicators will be relevant, available, or appropriate for a given context.
- Grant-specific log frames will be developed that link to the ECW results framework, and the Secretariat can support grantees to build monitoring capacity over time.
- Grantees must report on four indicators; five others encouraged where context and capabilities allow.

Within this comprehensive results framework, **three core results** were prioritized to communicate the success of the ECW platform and for HLSG focus

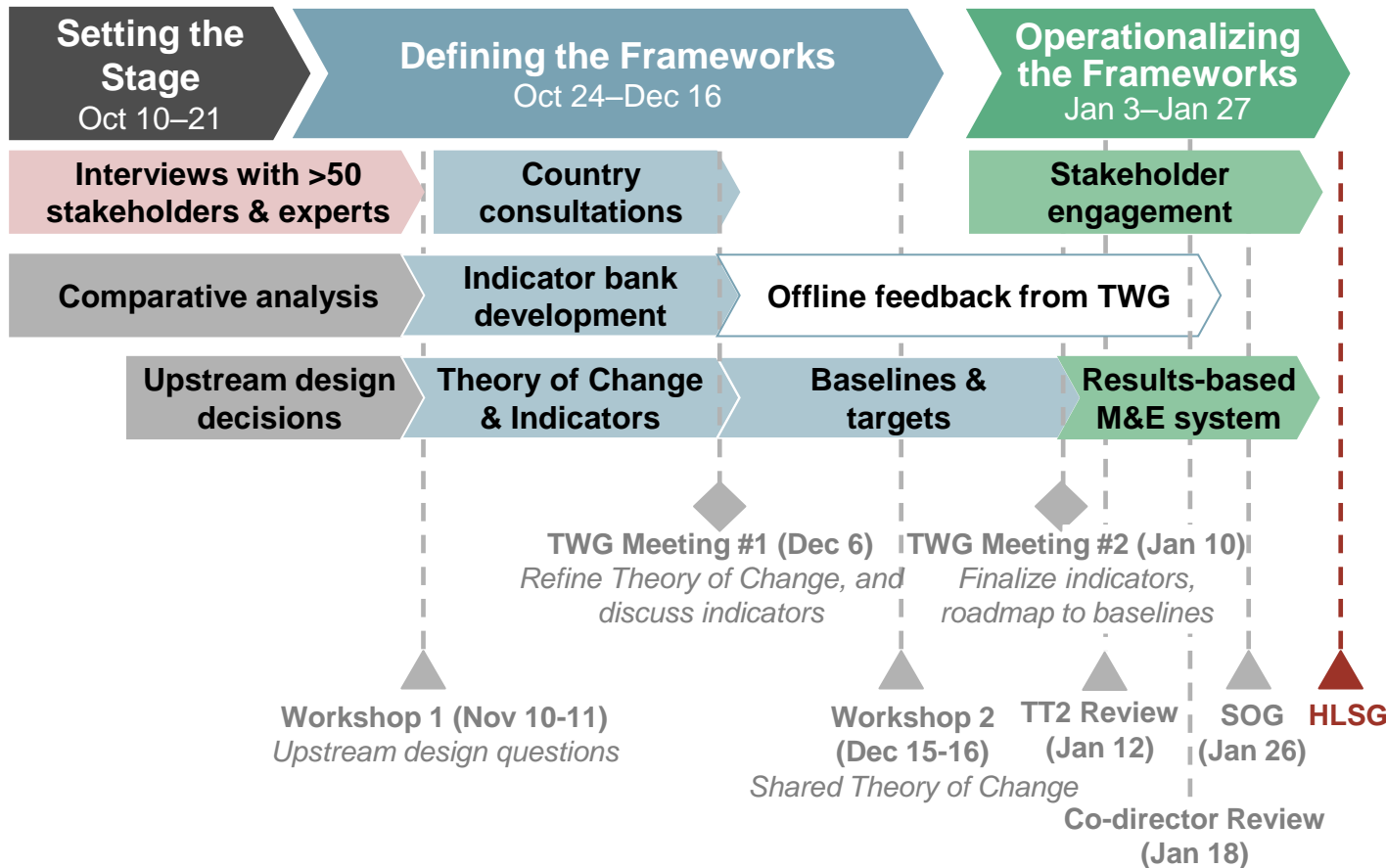
- As data and measurement improves, core indicators will evolve, with a focus on quality and equity

ECW will make phased investments through its Acceleration Facility to **"push the envelope"** over time where improved data quality, new indicators, or new data platforms are most critical.

- Balancing ECW's ambition with the need for a realistic measurement burden in early stages

Indicative targets have been identified where baseline data sources currently exist or baseline is assumed zero. Where data is not currently available, **target-setting approach** is recommended.

Results framework developed with guidance from TWG, workshop attendees, Task Team 2, and co-directors



TWG members

- **DFID:** Sarah Hennell, Kate Greany
- **Dubai Cares:** Annina Mattsson
- **European Commission:** Robert Prouty
- **Global Education Cluster:** Maria Agnese Giordano
- **GPE:** Moritz Bilagher (GPE)
- **IRC:** Silvia Diazgranados Ferrans
- **MSI Inc. (formerly Save):** Dr. Nitika Tolani
- **Norad:** Lene Leonhardsen
- **UNESCO Institute of Statistics:** Allison Kennedy
- **UNHCR:** Barbara Zeus
- **UNICEF:** Gabrielle Bonnet, Luc Gacougnolle
- **USAID:** Suezan Lee

TWG members were nominated by Task Team 2

Key themes from stakeholder feedback

Theory of change

Appetite in Workshop 1 to refine theory of change (TOC) from ODI proposal paper

- Recommendation to amend impact statement to improve clarity and measurability
- TWG input to rethink connection between outputs, fund outcomes, and program outcomes

Positive feedback on revised theory of change at Workshop 2; recommendation to outline rationale for linkages across TOC, to be tested and refined over time

SOG feedback to further articulate logic behind linkages and more explicitly call-out gender in ToC

- Also guidance to revise impact statement to strive beyond education to longer-term livelihood

Indicators

Existing data sources may be limited and/or inappropriate for crisis contexts, requiring use of grantee-reported indicators and newly developed data collection mechanisms

- Need to balance with grantee reporting burden – focus on indicators that are operationally meaningful, prioritize areas to "push the envelope," and allow flexibility for varying contexts

Suggest that ECW select a few key indicators to aggregate at a global level

Disaggregate by gender, level of education, disability, refugees, IDPs, and minorities (by context)

Overarching feedback that in designing the initial framework, perfection can be the enemy of the good; ECW should test, learn, and refine, recognizing that failure is okay.

Results framework designed with the aspiration to fulfill these key principles



Selective standardization

Strive for **global meaningful quantitative** measures of success among partners and grantees where it counts



Minimize burden

Seek efficiency in the **M&E burden** placed on grantees



Flexibility to local context

Reflect the **on-the-ground reality** across contexts

Focus

Focus management on only the most **meaningful impact** indicators



Agenda-setting

Prioritize a few key areas to **push the envelope** on results measurement, e.g., quality, beneficiary feedback on data collection

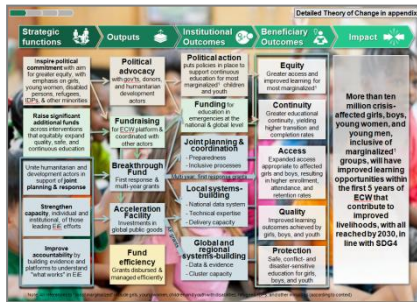


Transparency

Measure **actionable and reliable** results to support accountability and board / donor decision making, reflecting the complex reality

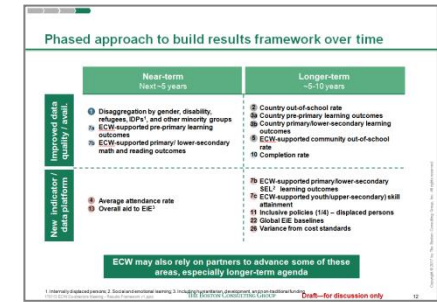
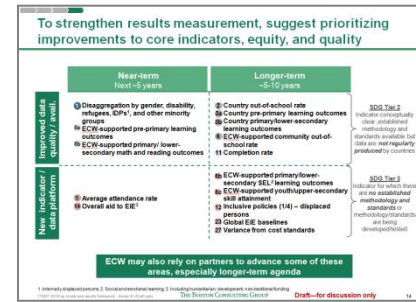


Results framework methodology



Summary (III/V): Beneficiary outcome indicators

Indicator	Coverage	Data source
Proportion of ECW grantees meeting program-specific targets for... Average attendance rate for ECW-supported... Disaggregation by gender, disability, refugees, IDP's, and other minority groups	Multi-year & long-term response	ECW grantees administrative data
Disaggregation of existing standardized data... Disaggregation of existing standardized data... Disaggregation of existing standardized data...	Multi-year & long-term response	ECW grantees administrative data
Disaggregation of existing standardized data... Disaggregation of existing standardized data... Disaggregation of existing standardized data...	Multi-year & long-term response	ECW grantees administrative data
Disaggregation of existing standardized data... Disaggregation of existing standardized data... Disaggregation of existing standardized data...	Multi-year & long-term response	ECW grantees administrative data



Further refined ODI Theory of Change

- Incorporated input from design workshops
- Ensured all key elements of ECW platform included
- Detailed linkages

Created comprehensive set of indicators

- Assembled "indicator bank" from partners
- Identified indicators mapped to each element of ToC
- Assessed current data availability

Prioritized 2-3 critical indicators to communicate ECW success

- Based on guiding principles
- Currently able to be measured with some reliability

For areas where ECW could "push the envelope", created phased approach

- Areas to strengthen data quality / availability, as well as indicators
- Focus on improving top 2-3 measures, equity and quality



Inspire political commitment with aim for greater equity, with emphasis on girls, young women, disabled persons, refugees, IDPs, & other minorities

Raise significant additional funds across interventions that equitably expand quality, safe, and continuous education

Unite humanitarian and development actors in support of **joint planning & response**

Strengthen capacity, individual and institutional, of those leading EiE efforts

Improve accountability by building evidence and platforms to understand "what works" in EiE

Political advocacy with gov'ts, donors, and humanitarian development actors

Fundraising for ECW platform & coordinated with other actors

Breakthrough Fund
First response & multi-year grants

Acceleration Facility
Investments in global public goods

Fund efficiency
Grants disbursed & managed efficiently

Political action puts policies in place to support continuous education for most marginalized¹ children and youth

Funding for education in emergencies at the national & global level

Joint planning & coordination
- Preparedness
- Inclusive processes

Local systems-building
- National data system
- Technical expertise
- Delivery capacity

Global and regional systems-building
- Data & evidence
- Cluster capacity

Equity
Greater access and improved learning for most marginalized¹

Continuity
Greater educational continuity, yielding higher transition and completion rates

Access
Expanded access appropriate to affected girls and boys, resulting in higher enrollment, attendance, and retention rates

Quality
Improved learning outcomes achieved by girls, boys, and youth

Protection
Safe, conflict- and disaster-sensitive education for girls, boys, and youth

Within the first 5 years of ECW, more than ten million crisis-affected girls, boys, and youth¹, inclusive of marginalized groups, will have improved learning opportunities that contribute to improved outcomes, with all reached by 2030, in line with SDG4

Note: All references to "most marginalized" include girls, young women, children and youth with disabilities, refugees, IDPs, and other minorities (according to context)
1. "Youth" inclusive of young women and young men under the age of 18. Target for girls is TBD based on a gender-based analysis.

Comprehensive set of indicators developed to enable Secretariat to test Theory of Change (see Appendix)

Improved data quality / avail.
New indicator / data platform

Summary (I / IV): Impact indicators

Indicator	Coverage	Data source
1 Total number of children and youth in school or equivalent non-school based settings reached with ECW assistance <ul style="list-style-type: none"> Disaggregated by gender, levels of education, formal / non-formal, disability (where possible, "push the envelope" where not), and refugees, IDPs, and other minorities according to context 	Multi-year & first response	ECW grantee administrative data
Proportion of ECW-supported countries meeting country-specific targets for...		
2 ...Out-of-school rate for children & young people in crisis and conflict-affected countries supported by ECW that are (a) of primary school age; (b) of lower secondary school age; (c) of upper secondary school age <ul style="list-style-type: none"> Disaggregated by gender where possible 	Multi-year, where relevant ²	UIS (where available)
3 ...Proportion of children and young people (disaggregated by gender) in crisis and conflict-affected countries supported by ECW meeting minimum proficiency level in learning outcomes measured across following: <ul style="list-style-type: none"> 3a Percentage of children under five (5) years of age who are developmentally on track in terms of health, learning, and psychosocial well-being 3b Proportion of children and young people (a) in Grades 2 or 3; (b) at the end of primary education; and (c) at the end of lower secondary education who achieved at least a minimum proficiency level in (i) reading and (ii) mathematics 	Multi-year, where relevant ²	UIS (where available)

1. While not technically an impact indicator, strong feedback from Task Team 2 that ECW should have at least one headline indicator that can clearly communicate its reach and is visible to the HLSG, especially donors. 2. To be collected only for multi-year grantees where crisis extends across majority of country via small satellite region

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Improved data quality / avail.
New indicator / data platform

Summary (III/IV): Institutional outcome indicators

Indicator	Coverage	Data source
12 Proportion of ECW-supported countries: <ul style="list-style-type: none"> In which girls' secondary education, in terms of enrollment, retention, and completion is recognized, targeted, and budgeted education priority With policies on inclusive education covering children with disabilities With education sector policy/plan that specifies prevention and response mechanisms to address gender-based violence in and around schools With policies on inclusive education covering refugees and internally displaced persons (IDPs) 	Multi-year & first response	UNICEF, ECW Secret.
13 Proportion of ECW-supported countries that have (a) increased their public expenditure on education, or (b) maintained sector spending at 20% or above	Multi-year & first response	UIS
14 Overall aid funding to education in emergencies (total) and as % of global humanitarian funding	EIE sector	OCHA, Acc. facility
15 Proportion of countries in a crisis context where ECW activities continuing beyond the grant period have sustainable funding sources after ECW grant period concludes	Multi-year & first response	ECW Secretariat
16 Proportion of humanitarian appeals that include an education component	First response	OCHA, UNHCR
17 Proportion of ECW-supported countries with response plans (NRC and / or RRP, where relevant) and education sector plans (ESP or TEP, where relevant) meeting quality standards ² and developed in consultation with all local actors, including Education Clusters, LEGs, refugee coordination groups, local civil society, and national governments, where applicable and appropriate.	Multi-year	UNICEF
18 Proportion of ECW-supported countries with an Education Management Information System (EMIS)	Multi-year & first response	ECW grantees
19 Percentage of allocated regular resources for ECW-supported programs expended by grantees at end of the year (absorptive capacity), averaged across grantees	Multi-year	ECW grantees
20 Proportion of countries with a humanitarian coordinator (HC) (or Resident Coordinator/HC) designation whose country Education Cluster undergoes Cluster Coordination Performance Monitoring (CCPM)	EIE sector	Global Education Cluster
21 Proportion of countries with a humanitarian coordinator (HC) (or Resident Coordinator/HC) designation that are fully staffed by Cluster Lead Agencies (CLAs), with fully staffed meaning at least a Cluster coordinator and information management officer	EIE Sector	Global Education Cluster
22 Global baselines for key education crisis indicators identified and collected	EIE Sector	Acc. facility

1. Total aid should include humanitarian, development, and non-traditional donors flowing to education in emergencies 2. Quality standards to include preparedness / risk reduction

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Improved data quality / avail.
New indicator / data platform

Summary (II/IV): Beneficiary outcome indicators

Indicator	Coverage	Data source
Proportion of ECW grantees meeting program-specific targets for...		
4 Number of equivalent children and youth supported by ECW for a year of education <ul style="list-style-type: none"> Disaggregated by gender, levels of education, formal vs. non-formal equivalent, disability, and refugees, IDPs, and other minorities according to context 	Multi-year & first response	ECW grantee administrative data
5 Average attendance rate for ECW-supported children and youth in formal or non-formal equivalent <ul style="list-style-type: none"> Disaggregated by gender, levels of education, formal vs. non-formal equivalent, disability, and refugees, IDPs, and other minorities according to context 	Multi-year & first response	ECW grantee administrative data
6 Out-of-school rate for children and youth in ECW-supported communities (Note: count both formal and non-formal education as being in school) <ul style="list-style-type: none"> Disaggregated by gender, levels of education, and disability, and refugees, IDPs, and other minorities according to context 	Multi-year	Disaggregation of existing household survey
7 Average hours of instructional time in classroom per week on core subjects (reading and math), per INEE minimum standards for instruction/learning processes, across ECW supported programs <ul style="list-style-type: none"> Proportion of ECW-supported children under five (5) years of age who are developmentally on track in terms of health, learning, and psychosocial well-being Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPs, and other minorities according to context 	Multi-year & first response	ECW grantee administrative data
8a Proportion of children and young people supported by ECW (a) in Grades 2 or 3; and (b) at the end of lower secondary education and (c) at the end of secondary education who achieve at least a minimum proficiency level in (i) reading, (ii) math, and (iii) social and emotional learning (SEL) <ul style="list-style-type: none"> Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPs, and other minorities according to context 	Multi-year	Learning assessments for ECW population
8b Proportion of ECW-supported youth in upper-secondary education who meet minimum standards for skill attainment relevant to local context and aligned with the SDGs (e.g., employability, life skills) <ul style="list-style-type: none"> Disaggregated by gender and disability, and refugees, IDPs, and other minorities by context 	Multi-year	Learning assessments for ECW population
9 % of ECW-supported schools observed that meet safe learning environment standards, including disaster risk reduction and gender-specific issues	Multi-year & first response	ECW grantee observation
10 Survival rate (% of pupils in first grade of education level expected to reach successive grades) for ECW-supported children & youth in (i) primary school and (ii) lower-secondary school <ul style="list-style-type: none"> Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPs, and other minorities according to context 	Multi-year	ECW grantee administrative data
11 Proportion of children who complete (a) primary education; (b) lower secondary education <ul style="list-style-type: none"> Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPs, and other minorities according to context 	Multi-year	ECW grantee administrative data

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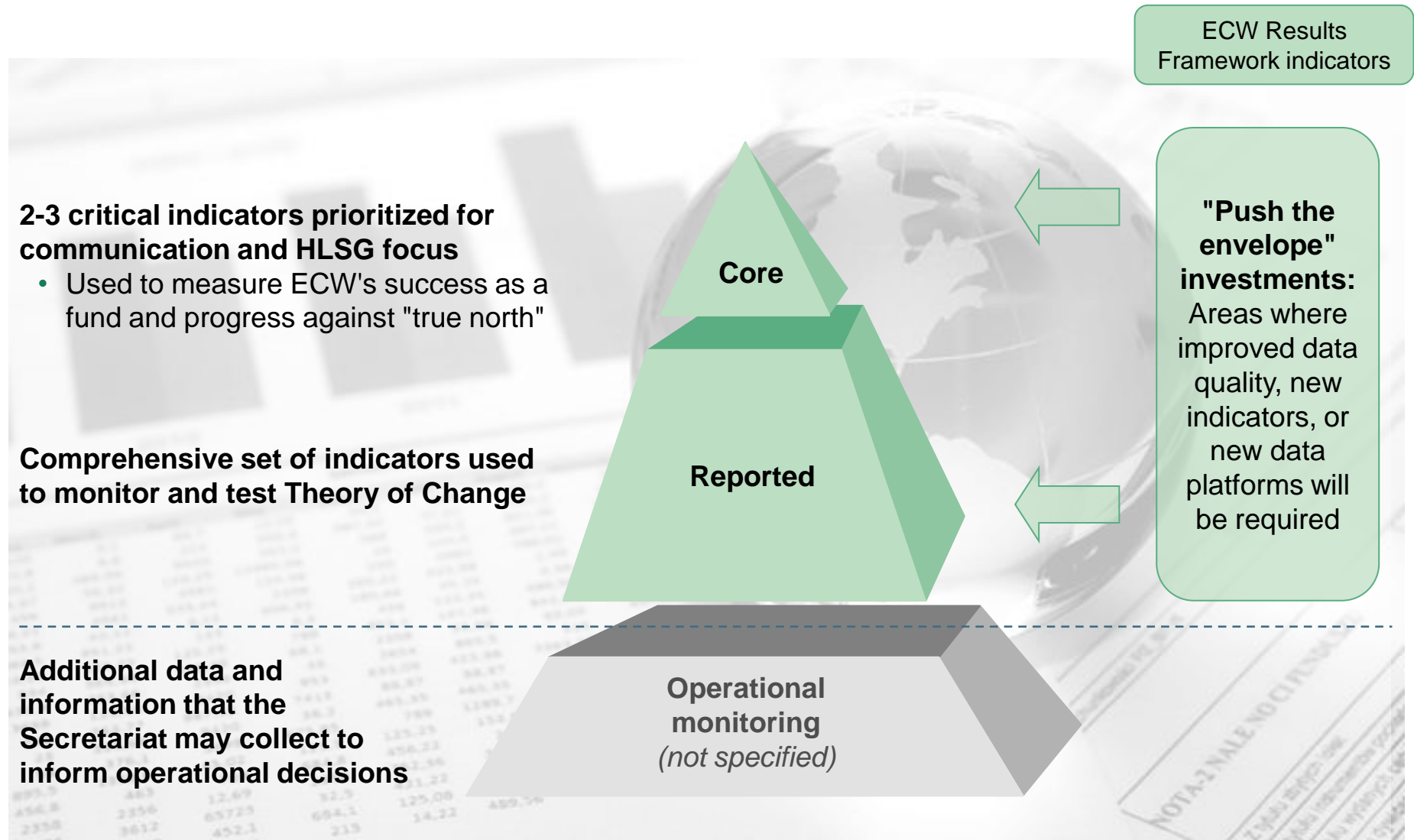
Improved data quality / avail.
New indicator / data platform

Summary (IV/IV): Output indicators

Indicator	Coverage	Data source
24 Number of crisis- and conflict-affected countries where high-level meetings took place between ECW leadership or HLSG members and senior officials within country	ECW Secretariat	ECW Secretariat
25 Total amount of funds raised by ECW and amount raised from non-traditional donors / through innovative financing mechanisms	ECW Secretariat	ECW Secretariat
26 Menu of indicators to measure programmatic outputs, aggregated across grantees annually, such as: <ul style="list-style-type: none"> # of children and youth receiving individual learning materials (e.g., textbooks, notebooks, etc.) # of classrooms supported (e.g., blackboards, maps, school-in-a-box, WASH facilities, etc.) # of teachers/administrators trained, by gender (e.g., in psychosocial support, peace education, life skills, etc.) 	Multi-year & first response	ECW grantees Administrative data
27 Proportion of ECW grantees meeting program-specific targets for variance from country-specific unit cost standards (e.g., for textbooks, construction, teacher salaries) - to be developed <ul style="list-style-type: none"> Disaggregated by refugee camps vs. host communities 	Multi-year	TBD Potential standards funded through Acc. Facility
28 Proportion of ECW grant proposals that are inclusive of all local actors, including Education Clusters, LEGs, refugee coordination groups, local civil society, and national governments, where applicable and appropriate	Multi-year	ECW Secretariat
29 Proportion of ECW grant proposals approved in Breakthrough Fund with transition plans for sustainability after ECW grant period concludes	Multi-year & first response	ECW Secretariat
30 Proportion of countries where % of ECW funding to local civil society implementers either through direct grant agents or sub-grantees meets target laid out in Grand Bargain	Multi-year & first response	ECW Secretariat
31 Proportion of acceleration facility grantees assessed as "on track" with grant implementation, with the definition of "on track" to be defined through grant-specific performance indicators outlined in grant agreement	Acc facility	ECW acc. facility grantees, ECW Secretariat
32 Proportion of all ECW indicators for each ECW-supported country with baselines identified and collected	ECW platform	ECW Secretariat
33 % of overhead costs (across ECW and grantees) as a ratio of total resources	ECW platform	ECW Secretariat
34 Average number of days across grantees for ECW to (i) disburse funds upon crisis onset in countries supported by ECW first response window and (ii) disburse funds upon selection for proposal in countries supported by ECW multi-year window	Multi-year & first response	ECW Secretariat

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Core results and indicators prioritized to measure ECW's overall success, communicate externally, and focus HLSG



M&E approach will be flexible to grantee context and leverage existing data



Grantees

- Grantee proposes **grant-specific results framework**, linked to ECW results
- **4 indicators required** – 2 programmatic, 2 operational
- 5 others **encouraged** where context and capabilities allow



Secretariat

- Track internal **administrative** and **global** measures
- Collate **existing data**
- Contract with external experts for **evaluation** or **new data collection**
- Invest in near-term priorities through **acceleration facility**



Partners



- Agree to **share existing data** for ECW-supported countries
- Partner to **advance indicators** over time, both in quality and availability

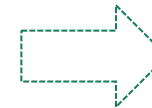
Note: Example partners, not comprehensive

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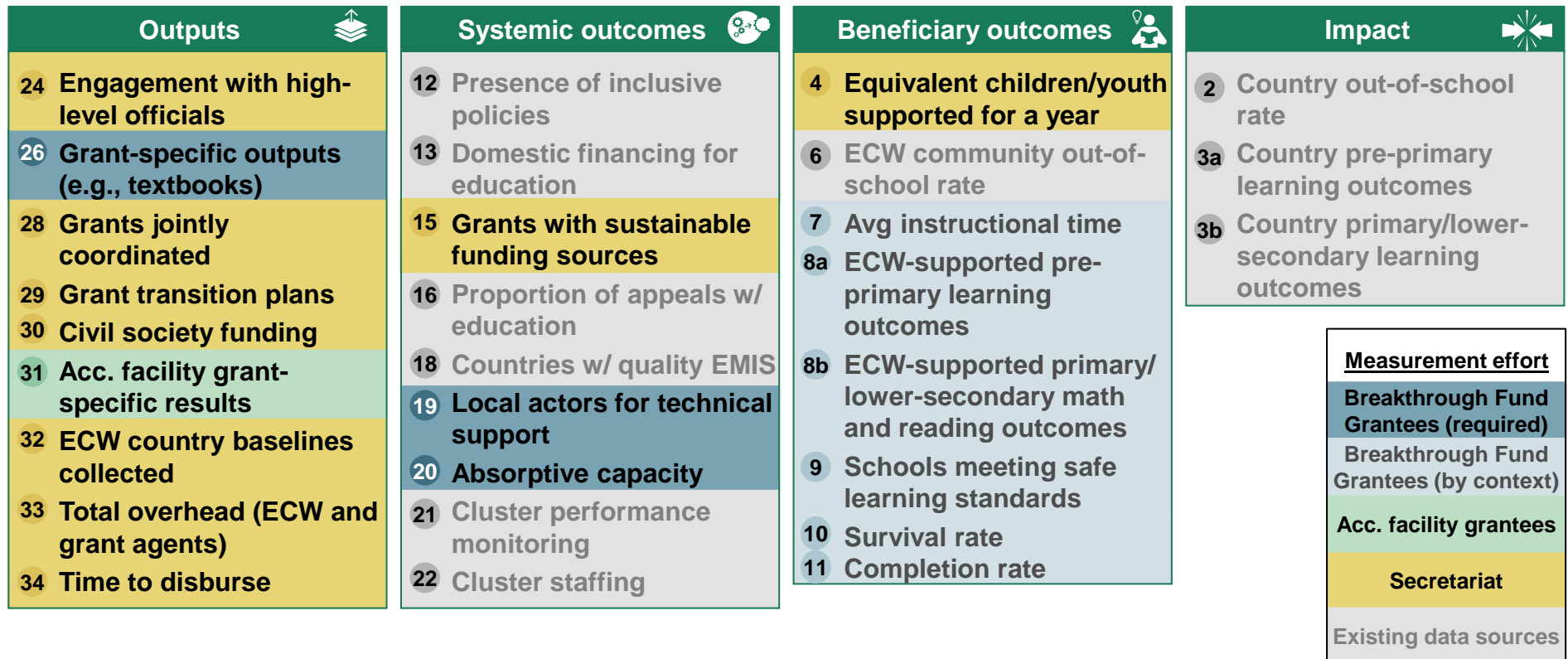
Overview: Proposed ECW core and reported indicators

Core indicators (near-term)

- 1 # children/youth reached w/ ECW assistance, by gender, education level
- 17 Inclusive, quality humanitarian & development plans
- 25 ECW financing (total, non-traditional)



Aspire for core indicators to become more outcome- and quality-focused long-term; see next page



For discussion: Selection of core results and indicators

Propose that core results and indicators should...

Be able to **assess general success** of the ECW platform

Strike a balance between **beneficiary and systemic** outcomes

Focus on the areas where ECW can be expected to **move the needle**

Cover key areas where ECW provides **additionality**

Be **measurable** with existing indicators / data collection methodologies

Core results and indicators based on principles

Near-term
Next ~5 years

Support children and youth

- 1 # children/youth reached with ECW assistance (by gender, education level, disability, refugees, IDPs, minority status, where possible)¹

Raise funding for ECW

- 25 ECW financing (total, non-traditional)

Bridge humanitarian development divide

- 17 Inclusive, quality humanitarian, development plans

Longer-term
~5-10 years

Support vulnerable children and youth

- 1 # reached w/ ECW assistance (by gender, edu. level, disability, refugees, IDPs, minority status)¹

Provide quality education in crisis

- 8 ECW-supported learning outcomes appropriate to education level (by gender, education level, disability, refugees, IDPs, minority status)¹

Increase overall funding to EiE

- 14 Overall aid to EiE (including humanitarian, development, and non-traditional funds)

1. Disaggregate by refugees, IDPs, minority status where applicable according to context

To strengthen results measurement, suggest prioritizing improvements to core indicators, equity, and quality

	Near-term Next ~5 years	Longer-term ~5-10 years	
Improved data quality / avail.	<ul style="list-style-type: none"> 1 Disaggregation by gender, disability, refugees, IDPs¹, and other minority groups 8a ECW-supported pre-primary learning outcomes 8b ECW-supported primary/ lower-secondary math and reading outcomes 	<ul style="list-style-type: none"> 2 Country out-of-school rate 3a Country pre-primary learning outcomes 3b Country primary/lower-secondary learning outcomes 6 ECW-supported community out-of-school rate 11 Completion rate 	<p>SDG Tier 2 Indicator conceptually clear, established methodology and standards available but data are not regularly produced by countries</p>
	<ul style="list-style-type: none"> 5 Average attendance rate 14 Overall aid to EiE³ 	<ul style="list-style-type: none"> 8b ECW-supported primary/lower-secondary SEL² learning outcomes 8c ECW-supported youth/upper-secondary skill attainment 12 Inclusive policies (1/4) – displaced persons 23 Global EiE baselines 27 Variance from cost standards 	

ECW may also rely on partners to advance some of these areas, especially longer-term agenda

1. Internally displaced persons; 2. Social and emotional learning; 3. Including humanitarian, development, non-traditional funding

Where baseline data exists, working to set indicative targets overall and for initial investment countries

Working to collect baseline data for five initial investment countries (Ethiopia, Chad, Yemen, Syria, Lebanon) where data sources already exist; recall:

- 2 Country out-of-school rate (UIS)
- 3a3b Country pre-primary & primary/lower-secondary learning outcomes (UIS)
- 12 Inclusive policies – 3 of 4 (UNICEF)
- 13 Domestic financing (UIS)
- 16 Proportion of appeals w/ education (FTS, UNHCR)
- 18 Countries with quality EMIS (UNICEF)
- 21 Cluster performance monitoring (Global Ed. Cluster)
- 22 Cluster staffing (Global Ed. Cluster)

TYPE	NUMBER	INDICATOR	Coverage	Data Source	Aggregate baseline	Ethiopia baseline	Chad baseline	Yemen baseline	Syria baseline	Lebanon baseline	
Impact	1	Number of children and young people in out-of-school (aged 3-17 years) by gender, disability, age bands (primary, secondary, tertiary) and country (Ethiopia, Chad, Yemen, Syria, Lebanon)	Multi-year	UIS	0	0	0	0	0	0	
Impact	2a	Char of school-age for children and young people to read and write in official languages supported by ECW that are 60% or below secondary school-age (disaggregated by gender)	Multi-year	UIS	Female 23.7 Male 14.3	Female 16.4 (2014) Male 10.7 (2014)	Female 31.9 (2010) Male 19.3 (2010)	Female 29.3 (2010) Male 28.4 (2010)	Female 29.3 (2010) Male 17.1 (2010)	Female 29.3 (2010) Male 17.1 (2010)	
Impact	2b	Char of school-age for children and young people to read and write in official languages supported by ECW that are 80% or below secondary school-age (disaggregated by gender)	Multi-year	UIS	Female 39.8 Male 19.9 (Exclude Chad)	Female 41.7 (2012) Male 38.4 (2012)	Female 66.7 (2009) Male 56.7 (2009)	Female 46.3 (2010) Male 44.4 (2010)	Female 41.3 (2010) Male 41.3 (2010)	Female 38.4 (2010) Male 31.3 (2010)	
Impact	2c	Char of school-age for children and young people to read and write in official languages supported by ECW that are 90% or below secondary school-age (disaggregated by gender)	Multi-year	UIS	Female 58.0 Male 19.0 (Exclude Ethiopia, Chad)	Female 60.8 (2002) Male 19.0 (2002)	Female 93.8 (2000) Male 90.8 (2000)	Female 65.7 (2010) Male 67.2 (2010)	Female 65.7 (2010) Male 67.2 (2010)	Female 65.7 (2010) Male 67.2 (2010)	
Impact	3a	Proportion of children and young people (disaggregated by gender) rate and work-in-progress rates supported by ECW with developmental outcomes measured according to all 10 indicators of the SDG framework (SDG 4) on the basis of the following indicators: 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 4.10, 4.11, 4.12, 4.13, 4.14, 4.15, 4.16, 4.17, 4.18, 4.19, 4.20, 4.21, 4.22, 4.23, 4.24, 4.25, 4.26, 4.27, 4.28, 4.29, 4.30, 4.31, 4.32, 4.33, 4.34, 4.35, 4.36, 4.37, 4.38, 4.39, 4.40, 4.41, 4.42, 4.43, 4.44, 4.45, 4.46, 4.47, 4.48, 4.49, 4.50, 4.51, 4.52, 4.53, 4.54, 4.55, 4.56, 4.57, 4.58, 4.59, 4.60, 4.61, 4.62, 4.63, 4.64, 4.65, 4.66, 4.67, 4.68, 4.69, 4.70, 4.71, 4.72, 4.73, 4.74, 4.75, 4.76, 4.77, 4.78, 4.79, 4.80, 4.81, 4.82, 4.83, 4.84, 4.85, 4.86, 4.87, 4.88, 4.89, 4.90, 4.91, 4.92, 4.93, 4.94, 4.95, 4.96, 4.97, 4.98, 4.99, 5.00	Multi-year	UIS	TBD	Not available for this country in UIS	33.3% (2010)	Not available for this country in UIS	Not available for this country in UIS	Not available for this country in UIS	
Impact	3b	Proportion of children and young people (disaggregated by gender) rate and work-in-progress rates supported by ECW with developmental outcomes measured according to all 10 indicators of the SDG framework (SDG 4) on the basis of the following indicators: 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 4.10, 4.11, 4.12, 4.13, 4.14, 4.15, 4.16, 4.17, 4.18, 4.19, 4.20, 4.21, 4.22, 4.23, 4.24, 4.25, 4.26, 4.27, 4.28, 4.29, 4.30, 4.31, 4.32, 4.33, 4.34, 4.35, 4.36, 4.37, 4.38, 4.39, 4.40, 4.41, 4.42, 4.43, 4.44, 4.45, 4.46, 4.47, 4.48, 4.49, 4.50, 4.51, 4.52, 4.53, 4.54, 4.55, 4.56, 4.57, 4.58, 4.59, 4.60, 4.61, 4.62, 4.63, 4.64, 4.65, 4.66, 4.67, 4.68, 4.69, 4.70, 4.71, 4.72, 4.73, 4.74, 4.75, 4.76, 4.77, 4.78, 4.79, 4.80, 4.81, 4.82, 4.83, 4.84, 4.85, 4.86, 4.87, 4.88, 4.89, 4.90, 4.91, 4.92, 4.93, 4.94, 4.95, 4.96, 4.97, 4.98, 4.99, 5.00	Multi-year	UIS	TBD	Not currently available for this country in UIS	Lemay 62.4; Lemay 62.5	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS
Impact	3c	Proportion of children and young people (disaggregated by gender) rate and work-in-progress rates supported by ECW with developmental outcomes measured according to all 10 indicators of the SDG framework (SDG 4) on the basis of the following indicators: 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 4.10, 4.11, 4.12, 4.13, 4.14, 4.15, 4.16, 4.17, 4.18, 4.19, 4.20, 4.21, 4.22, 4.23, 4.24, 4.25, 4.26, 4.27, 4.28, 4.29, 4.30, 4.31, 4.32, 4.33, 4.34, 4.35, 4.36, 4.37, 4.38, 4.39, 4.40, 4.41, 4.42, 4.43, 4.44, 4.45, 4.46, 4.47, 4.48, 4.49, 4.50, 4.51, 4.52, 4.53, 4.54, 4.55, 4.56, 4.57, 4.58, 4.59, 4.60, 4.61, 4.62, 4.63, 4.64, 4.65, 4.66, 4.67, 4.68, 4.69, 4.70, 4.71, 4.72, 4.73, 4.74, 4.75, 4.76, 4.77, 4.78, 4.79, 4.80, 4.81, 4.82, 4.83, 4.84, 4.85, 4.86, 4.87, 4.88, 4.89, 4.90, 4.91, 4.92, 4.93, 4.94, 4.95, 4.96, 4.97, 4.98, 4.99, 5.00	Multi-year	UIS	TBD	Not currently available for this country in UIS	Female 76.5 (2011) Male 69.1 (2011)	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS
Impact	3d	Proportion of children and young people (disaggregated by gender) rate and work-in-progress rates supported by ECW with developmental outcomes measured according to all 10 indicators of the SDG framework (SDG 4) on the basis of the following indicators: 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 4.10, 4.11, 4.12, 4.13, 4.14, 4.15, 4.16, 4.17, 4.18, 4.19, 4.20, 4.21, 4.22, 4.23, 4.24, 4.25, 4.26, 4.27, 4.28, 4.29, 4.30, 4.31, 4.32, 4.33, 4.34, 4.35, 4.36, 4.37, 4.38, 4.39, 4.40, 4.41, 4.42, 4.43, 4.44, 4.45, 4.46, 4.47, 4.48, 4.49, 4.50, 4.51, 4.52, 4.53, 4.54, 4.55, 4.56, 4.57, 4.58, 4.59, 4.60, 4.61, 4.62, 4.63, 4.64, 4.65, 4.66, 4.67, 4.68, 4.69, 4.70, 4.71, 4.72, 4.73, 4.74, 4.75, 4.76, 4.77, 4.78, 4.79, 4.80, 4.81, 4.82, 4.83, 4.84, 4.85, 4.86, 4.87, 4.88, 4.89, 4.90, 4.91, 4.92, 4.93, 4.94, 4.95, 4.96, 4.97, 4.98, 4.99, 5.00	Multi-year	UIS	TBD	Not currently available for this country in UIS	Female 42.9; Male 36.2	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS
Impact	3e	Proportion of children and young people (disaggregated by gender) rate and work-in-progress rates supported by ECW with developmental outcomes measured according to all 10 indicators of the SDG framework (SDG 4) on the basis of the following indicators: 4.1, 4.2, 4.3, 4.4, 4.5, 4.6, 4.7, 4.8, 4.9, 4.10, 4.11, 4.12, 4.13, 4.14, 4.15, 4.16, 4.17, 4.18, 4.19, 4.20, 4.21, 4.22, 4.23, 4.24, 4.25, 4.26, 4.27, 4.28, 4.29, 4.30, 4.31, 4.32, 4.33, 4.34, 4.35, 4.36, 4.37, 4.38, 4.39, 4.40, 4.41, 4.42, 4.43, 4.44, 4.45, 4.46, 4.47, 4.48, 4.49, 4.50, 4.51, 4.52, 4.53, 4.54, 4.55, 4.56, 4.57, 4.58, 4.59, 4.60, 4.61, 4.62, 4.63, 4.64, 4.65, 4.66, 4.67, 4.68, 4.69, 4.70, 4.71, 4.72, 4.73, 4.74, 4.75, 4.76, 4.77, 4.78, 4.79, 4.80, 4.81, 4.82, 4.83, 4.84, 4.85, 4.86, 4.87, 4.88, 4.89, 4.90, 4.91, 4.92, 4.93, 4.94, 4.95, 4.96, 4.97, 4.98, 4.99, 5.00	Multi-year	UIS	TBD	Not currently available for this country in UIS	Female 58.5; Male 58.5	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS	Not currently available for this country in UIS

Where baseline exists or is currently 0 (e.g., # of ECW-supported children), setting indicative targets (approach on following page)

- Adopting existing targets where they have already been set by ECW (e.g., ODI financing targets) or key partners

For remaining indicators, recommend target setting approach once baselines are collected

Three scenarios for baseline data

At the outset, three scenarios for available baseline data for a given indicator:

1. **Data sources currently exist** (e.g., UIS, UNICEF); data is available and relatively recent for relevant countries
2. **Baseline is assumed zero** at fund start because indicator measures "ECW-supported" activities

Collecting baselines

3. **Data is not available** because indicator is new, new data collection platform investments are needed and / or crisis context makes data collection infeasible

As new countries receive ECW support, baselines will need to be assessed for each ECW grantee / country

Considerations to set 5-year and annual targets

To set 5-year target ranges for countries / programs, recommend considering:

- Pre-crisis levels: Indicator level prior to crisis, esp. for conflict or natural disasters
- Regional comparables: Average and "best-in-class" in region (e.g., East Africa)
- Developed country benchmarks: May serve as aspiration

Assess trajectory that evidence would suggest achievable for annual targets

- Gradual increase likely in protracted crises unlikely to recover quickly
- Flattening of progress over time may be favored in sudden onset or natural disaster
- Linear increase suggested for platform targets, less affected by external factors

After developing targets, step back to consider if targets are ambitious enough to deliver desired results

Example: ECW baselines and targets for near-term core indicators

Indicator	Data source	Periodicity	Baseline	Milestone 2018	Milestone 2019	Milestone 2020	Milestone 2021	Target 2022	
1 Total number of children and youth in school or equivalent non-school based settings reached with ECW assistance ¹	Grantee reported	Bi-annual	Total children and youth:	0	1.36M	3.4M	6.12M	9.52M	13.6M
			Female:	0	0.49M	1.31M	2.62M	4.49M	6.8M
			Baseline timeframe = 2017 n = 5 countries						
17 Proportion of ECW-supported countries with response plans and education sector plans meeting quality standards, and developed in consultation with all relevant local actors, including Education Clusters, LEGs, refugee coordination groups, local civil society, and national governments, where applicable and appropriate ²	ECW Sec.	Annual	Overall:	TBD	40%	50%	75%	90%	100%
			Baseline timeframe = 2017 n = 5 countries						
25 Total amount of funds raised by ECW and amount raised from non-traditional donors / through innovative financing mechanisms	ECW Sec.	Bi-annual	Overall:	\$119M	\$153M	\$383M	\$689M	\$1B	\$1.5B
			Non-traditional/ financing mechanisms	\$2.5M	\$6.1M	\$19.2M	\$55.1M	\$100M	\$150M
			Baseline timeframe = 2016						

1. ECW assistance defined according to USAID methodology; Grantees requested to disaggregate by formal vs. non-formal, disability, and refugees, IDP's, or other minorities according to context. 2. Response plans include HRP and / or RRP, where relevant; education sector plans to include ESP or TEP where relevant; quality standards to include preparedness (per UNICEF).

Agenda

Appendix

Summary (I / X): Impact indicators

IMPACT - Within the first 5 years of ECW, more than ten million crisis-affected girls, boys, and youth¹, inclusive of marginalized groups, will have improved learning opportunities that contribute to improved outcomes, with all reached by 2030, in line with SDG4

Indicator	Coverage	Data source	Phased investment
<p>1 Total number of children and youth in school or equivalent non-school based settings, including pre-primary education, reached with ECW assistance¹</p> <ul style="list-style-type: none"> Disaggregated by gender, levels of education, formal / non-formal, disability, and refugees, IDPs, and other minorities according to context, where possible 	Multi-year & first response	ECW grantee administrative data	Data quality/ Availability
<p>2 <u>Proportion of ECW-supported countries meeting country-specific targets for:</u> Out-of-school rate for children & young people in crisis and conflict-affected countries supported by ECW that are (a) of primary school age; (b) of lower secondary school age; (c) of upper secondary school age</p> <ul style="list-style-type: none"> Disaggregated by gender where possible 	Multi-year, where relevant ²	UIS (where available)	Data quality/ Availability
<p>3 <u>Proportion of ECW-supported countries meeting country-specific targets for:</u> Proportion of children and young people (disaggregated by gender) in crisis and conflict-affected countries supported by ECW meeting minimum proficiency level in learning outcomes measured across the following:</p> <p>3a Percentage of children under five (5) years of age who are developmentally on track in terms of health, learning, and psychosocial well-being</p>	Multi-year, where relevant ²	UIS (where available)	Data quality/ Availability
<p>3b Proportion of children and young people (a) in Grades 2 or 3; (b) at the end of primary education; and (c) at the end of lower secondary education who achieved at least a minimum proficiency level in (i) reading and (ii) mathematics</p>	Multi-year, where relevant ²	UIS (where available)	Data quality/ Availability

1. Assistance defined according to USAID methodology; While not technically an impact indicator, strong feedback from Task Team 2 that ECW should have at least one top-line indicator that clearly communicates its reach and is visible to HLSG 2. To be collected only for multi-year grantees where crisis extends across majority of country vs. small isolated region

Summary (II / X): Beneficiary outcome indicators

BENEFICIARY OUTCOMES				
<p>Access - Expanded access appropriate to affected girls and boys, resulting in higher enrollment, attendance, and retention rates</p> <p>Equity - Greater access and improved learning for most marginalized</p>				
	Indicator	Coverage	Data source	Phased investment
4	Number of equivalent children and youth supported by ECW for a year of education	Multi-year & first response	ECW Secretariat	
5	<p><u>Proportion of ECW grantees meeting program-specific targets for: Average attendance rate for ECW-supported children and youth in formal or non-formal equivalent</u></p> <ul style="list-style-type: none"> Disaggregated by gender, levels of education, formal vs. non-formal equivalent, disability, and refugees, IDPS, and other minorities according to context, where possible 	Multi-year & first response	ECW grantee administrative data	New indicator / data platform
6	<p><u>Proportion of ECW grantees meeting program-specific targets for: Out-of-school rate for children and youth in ECW-supported communities</u></p> <ul style="list-style-type: none"> Disaggregated by gender, levels of education, and disability, and refugees, IDPS, and other minorities according to context, where possible 	Multi-year	Disaggregation of existing household survey	Data quality/ Availability

Summary (III / X): Beneficiary outcome indicators

Quality - Improved learning outcomes achieved by girls and boys Equity - Greater access and improved learning for most marginalized				
	Indicator	Coverage	Data source	Phased investment
7	<u>Proportion of ECW grantees meeting program-specific targets for:</u> Average hours of instructional time in classroom per week on core subjects (reading and math), per INEE minimum standards for instruction/learning processes, across ECW supported programs	Multi-year & first response	ECW grantee self-assessment	
8a	<u>Proportion of ECW grantees meeting program-specific targets for:</u> Proportion of ECW-supported children under five (5) years of age who are developmentally on track in terms of health, learning, and psychosocial well-being <ul style="list-style-type: none"> Disaggregated by gender and disability, and refugees, IDPS, and other minorities according to context, where possible 	Multi-year programs addressing ECD	Learning assessments for ECW population	Data quality/ Availability
8b	<u>Proportion of ECW grantees meeting program-specific targets for:</u> Proportion of children and young people supported by ECW (a) in Grades 2 or 3; and (b) at the end of lower secondary education and (c) at the end of secondary education who achieve at least a minimum proficiency level in (i) reading, (ii) math, and (iii) social and emotional learning (SEL) <ul style="list-style-type: none"> Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPS, and other minorities according to context, where possible 	Multi-year	Learning assessments for ECW population	Data quality/ Availability (for reading and math) New indicator / data platform (for SEL)
8c	<u>Proportion of ECW grantees meeting program-specific targets for:</u> Proportion of ECW-supported youth in upper-secondary education who meet minimum standards for skill attainment relevant to local context and aligned with the SDGs (e.g., employability, life skills) <ul style="list-style-type: none"> Disaggregated by gender and disability, and refugees, IDPS, and other minorities according to context, where possible 	Multi-year	Learning assessments for ECW population	New indicator / data platform

Summary (IV / X): Beneficiary outcome indicators

Protection - Safe, conflict- and disaster-sensitive education for girls, boys, and youth Equity - Greater access and improved learning for most marginalized				
	Indicator	Coverage	Data source	Phased investment
9	<u>Proportion of ECW grantees meeting program-specific targets for:</u> % of ECW-supported schools observed that meet safe learning environment standards, including disaster risk reduction and gender-specific issues	Multi-year & first response	ECW grantee self-assessment	
Continuity - Greater educational continuity, yielding higher transition and completion rates Equity - Greater access and improved learning for most marginalized				
10	<u>Proportion of ECW grantees meeting program-specific targets for:</u> Survival rate (% of pupils in first grade of education level expected to reach successive grades) for ECW-supported children & youth in (i) primary school and (ii) lower-secondary school <ul style="list-style-type: none"> Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPS, and other minorities according to context, where possible 	Multi-year	ECW grantee administrative data	
11	<u>Proportion of ECW grantees meeting program-specific targets for:</u> Proportion of children who complete: (a) primary education; (b) lower secondary education <ul style="list-style-type: none"> Disaggregated by gender and disability (where possible, "push the envelope" where not), and refugees, IDPS, and other minorities according to context, where possible 	Multi-year	ECW grantee administrative data	Data quality/ Availability

Summary (V / X): Systemic outcome indicators

SYSTEMIC OUTCOMES				
Political action puts policies in place to support continuous education for most marginalized children and youth				
	Indicator	Coverage	Data source	Phased investment
12	Proportion of ECW-supported countries meeting country-specific targets for: <ul style="list-style-type: none"> Girls' secondary education, in terms of enrollment, retention, and completion is recognized, targeted, being a budgeted education priority Policies on inclusive education covering children with disabilities Education sector policy/plan specifying prevention and response mechanisms to address gender-based violence in and around schools Policies on inclusive education covering refugees and internally displaced persons (IDPs) 	Multi-year & first response	UNICEF, ECW Secretariat	New indicator / data platform (For displaced persons policy only)
13	Proportion of ECW-supported countries that have (a) increased their public expenditure on education; or (b) maintained sector spending at 20% or above	Multi-year & first response	UIS	
Funding for education in emergencies at the national & global level				
14	Overall aid funding to education in emergencies (total ¹ and as % of global humanitarian funding)	EiE sector	OCHA, Acc. facility	New indicator / data platform
15	Proportion of countries in a crisis context where ECW activities continuing beyond the grant period have sustainable funding sources after ECW grant period concludes	Multi-year & first response	ECW Secretariat	
16	Proportion of humanitarian appeals that include an education component	First response	OCHA, UNHCR	

1. Total aid should include, humanitarian, development, and non-traditional dollars flowing to education in emergencies

Summary (VI / X): Systemic outcome indicators

Joint coordination and planning including preparedness and inclusive processes				
Indicator	Coverage	Data source	Phased investment	
17	Proportion of ECW-supported countries with response plans (HRP and / or RRP, where relevant) and education sector plans (ESP or TEP, where relevant) meeting quality standards ¹ , and developed in consultation with all local actors, including Education Clusters, LEGs, refugee coordination groups, local civil society, and national governments, where applicable and appropriate.	Multi-year	ECW Secretariat	
Local systems-building, including national data systems, technical expertise, and delivery capacity				
18	Proportion of ECW-supported countries with a well-functioning Education Management Information System (EMIS), assessed based off of data quality and timeliness, disaggregation, and comprehensiveness	Multi-year	UNICEF	
19	Proportion of ECW grantees who are increasing their use of local actors for contracted support of joint proposals and needs assessments (instead of contracting to external actors)	Multi-year & first response	ECW grantees	
20	Percentage of allocated regular resources for ECW-supported programs expended by grantees at end of the year (absorptive capacity), averaged across grantees	Multi-year	ECW grantees	
21	Proportion of countries with a humanitarian coordinator (HC) (or Resident Coordinator/HC) designation whose country Education Cluster undergoes Cluster Coordination Performance Monitoring (CCPM)	EiE sector	Global Education Cluster	

Note: HRP = Humanitarian Response Plan; RRP = Refugee Response Plan; ESP = Education Sector Plan; TEP = Transitional Education Plan; 1. Quality standards to include preparedness/risk reduction; leverage existing GPE and UNICEF standards for ESPs and TEPs

Summary (VII / X): Systemic outcome indicators

Global and regional systems-building, including data & evidence and Cluster capacity				
	Indicator	Coverage	Data source	Phased investment
22	Proportion of countries with a humanitarian coordinator (HC) (or Resident Coordinator/HC) designation that are fully staffed by Cluster Lead Agencies (CLAs), with fully staffed meaning at least a Cluster coordinator and information management officer	EiE Sector	Global Education Cluster	
23	Proportion of global baselines for key education crisis indicators identified and collected	EiE Sector	Acc. facility	New indicator / data platform

Summary (VIII / X): Systemic indicators

OUTPUTS				
Political advocacy with governments, donors, and humanitarian and development actors				
	Indicator	Coverage	Data source	Phased investment
24	Number of crisis- and conflict- affected countries where high-level meetings took place between ECW leadership or HLSG members and senior officials within country	ECW Secretariat	ECW Secretariat	
Fundraising for ECW platform & coordinated with other actors				
25	Total amount of financing to ECW and amount raised from non-traditional donors / through innovative financing mechanisms	ECW Secretariat	ECW Secretariat	

Summary (IX / X): Output indicators

Breakthrough Fund – first response & multi-year grants				
	Indicator	Coverage	Data source	Phased investment
26	<p>Menu of indicators to measure programmatic outputs, aggregated across grantees annually, such as:</p> <ul style="list-style-type: none"> # of children and youth receiving individual learning materials (e.g., textbooks, notebooks, etc.) # of classrooms supported (e.g., blackboards, maps, school-in-a-box, WASH facilities, etc.) # of teachers/administrators trained, by gender (e.g., in psychosocial support, peace education, life skills, etc.) 	Multi-year & first response	ECW grantees Administrative data	
27	<p>Proportion of ECW grantees meeting program-specific targets for variance from country-specific unit cost standards (e.g., for textbooks, construction, teacher salaries) - to be developed</p> <ul style="list-style-type: none"> Disaggregated by refugee camps vs. host communities 	Multi-year	TBD Potential standards funded through Acc. Facility	New indicator / data platform
28	<p>Proportion of ECW grant proposals that are inclusive of all local actors, including Education Clusters, LEGs, refugee coordination groups, local civil society, and national governments, where applicable and appropriate</p>	Multi-year	ECW Secretariat	
29	<p>Proportion of ECW grant proposals approved in Breakthrough Fund with transition plans for sustainability after ECW grant period concludes</p>	Multi-year & first response	ECW Secretariat	
30	<p>Proportion of countries where % of ECW funding to local civil society implementers either through direct grant agents or sub-grantees meets target laid out in Grand Bargain</p>	Multi-year & first response	ECW Secretariat	

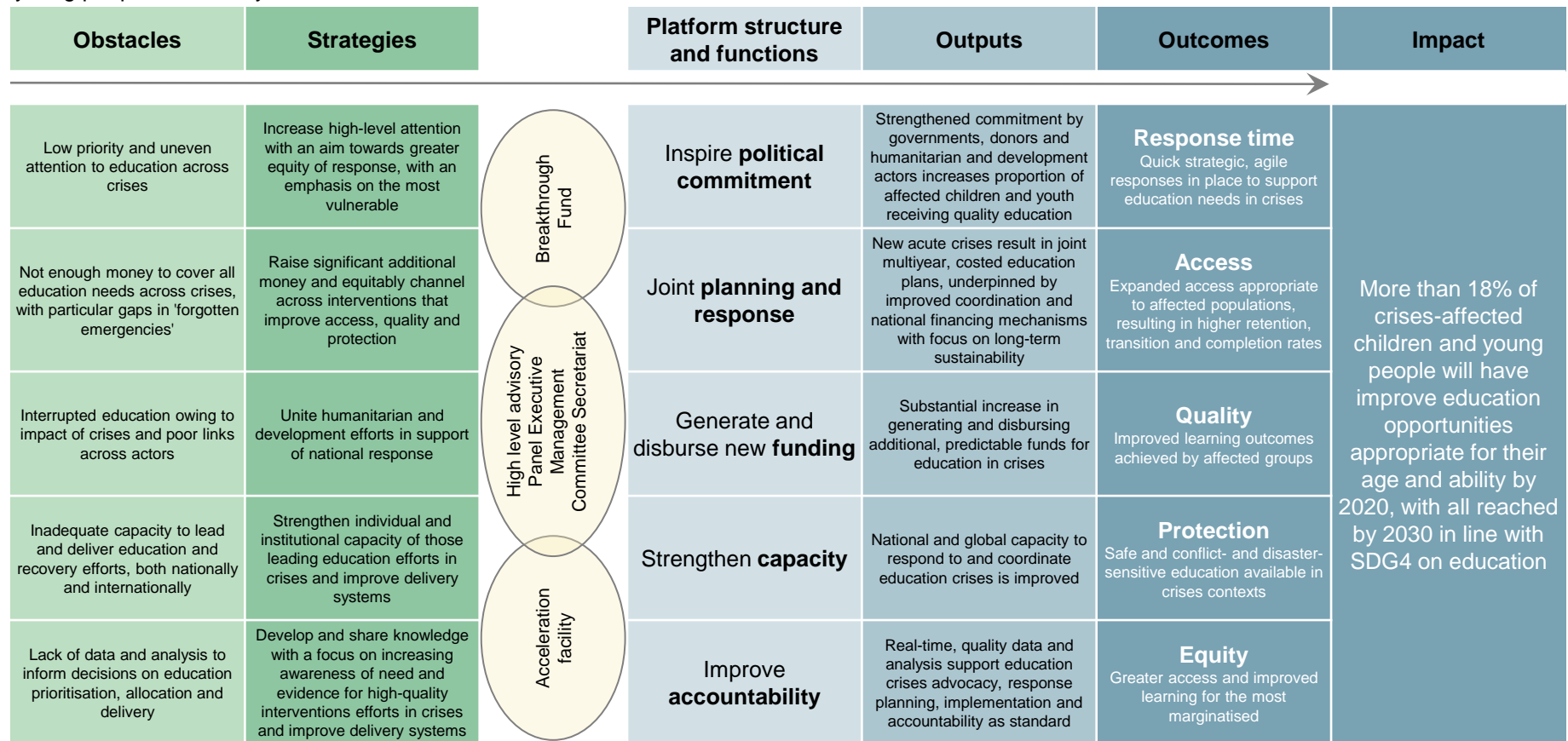
Summary (X / X): Output indicators

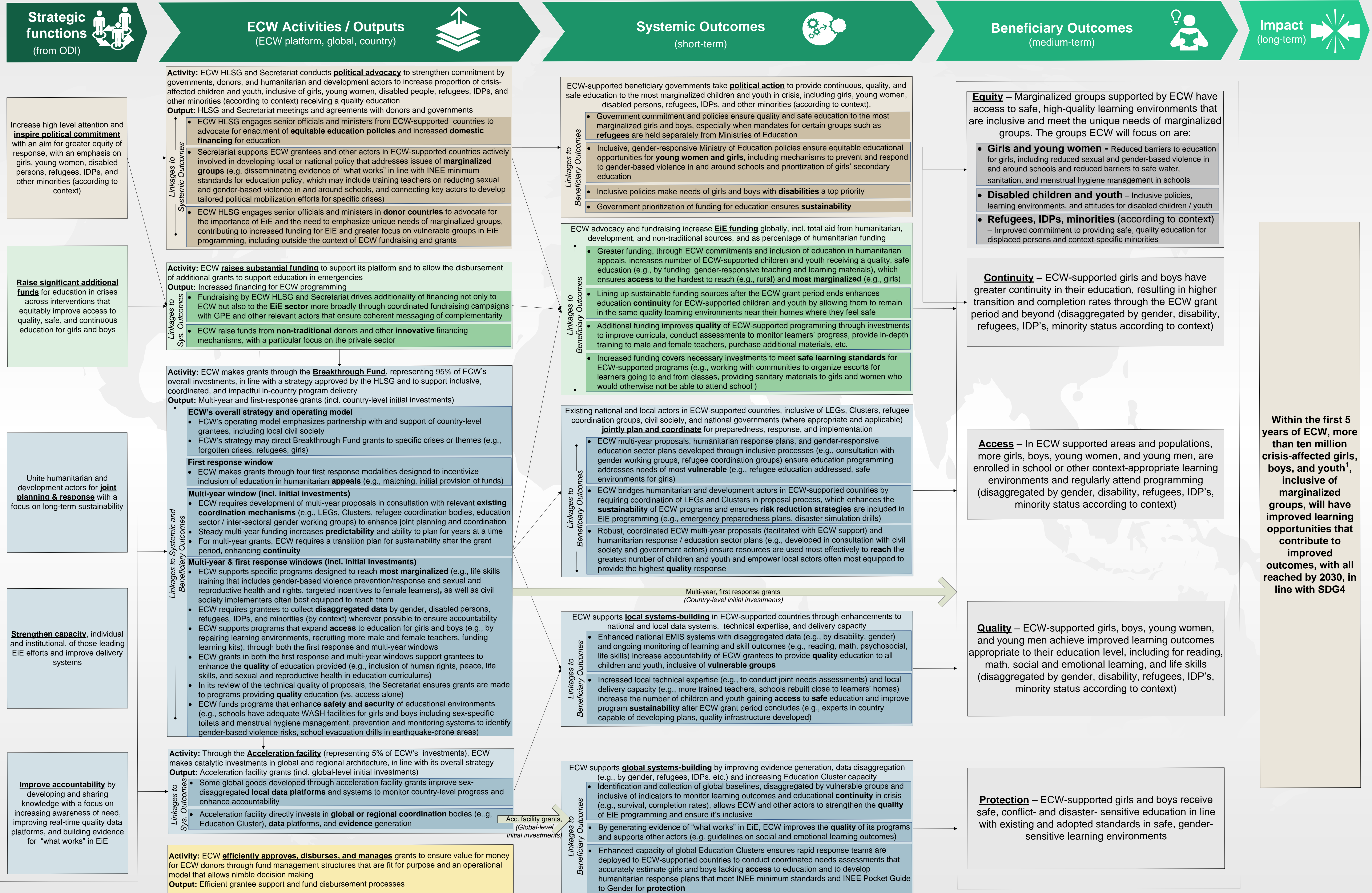
Acceleration Facility – investments in global public goods				
Indicator	Coverage	Data source	Phased investment	
31 Proportion of acceleration facility grantees assessed as "on track" with grant implementation, with the definition of "on track" to be defined through grant-specific performance indicators outlined in grant agreement	Acc facility	ECW acc. facility grantees, ECW Secretariat		
32 Proportion of all ECW indicators for each ECW-supported country with baselines identified and collected	ECW platform	ECW Secretariat		
Fund efficiency – grants disbursed & managed efficiently				
33 % of overhead costs (across ECW and grantees) as a ratio of total resources	ECW platform	ECW Secretariat		
34 Average number of days across grantees for ECW to i) disburse funds upon crisis onset in countries supported by ECW first response window and ii) disburse funds upon selection for proposal in countries supported by ECW multi-year window	Multi-year & first response	ECW Secretariat		

Leveraged initial draft theory of change from ODI paper as a starting point

Problem statement: Emergencies and protracted crises currently disrupt and destroy education opportunities for more than 75 million children and young people around the world, violating their rights and increasing risk of marginalization

Platform purpose: Generate greater shared political, financial and operational commitment to meet the educational needs of millions of children and young people affected by crises





Note: EIE = Education in Emergencies; all references to "marginalized" or "vulnerable groups" include girls, young women, children and youth with disabilities, refugees, IDPs, and other minorities (according to context)
 1. "Youth" inclusive of young women and young men under the age of 18. Target for girls is TBD based on a gender-based analysis. Given that girls are 2.5 times more likely to be out of school across all conflict-affected states, there is a strong rationale for setting country or grantee level targets to address gender disparity issues specific to each respective context.